132. Formation of Cyclic 'ortho'-Anhydrides of Heptalene-1,2-dicarboxylic Acids

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l-(Alkoxycarbonyl)heptalene-2-carboxylic acids as well as 2-(alkoxycarbonyl)heptalene-1-carboxylic acids react with the iminium salt formed from N,N-dimethylformamide (DMF) and oxalyl chloride, in the presence of an alcohol, to yield the corresponding cyclic 'ortho'-anhydrides (ψ -esters; cf. Schemes 2, 3, 6, and 8). When the alkoxy moiety of the acids and the alcohols is different, then diastereoisomeric 'ortho'-anhydrides are formed due to the non-planarity of the heptalene skeleton. The approach of the alcohol from the β -side is strongly favored (cf. Scheme 5 and Table 1). This effect can be attributed to the bent topology of the heptalene skeleton which sterically hinders the approach of the nucleophile from the α -side of the postulated intermediates, *i.e.* the charged O-alky-lated anhydrides of type 19 (cf. Scheme 6). Whereas the 'ortho'-anhydrides with four substituents in the 'peri'-positions of the heptalene skeleton are configurationally stable up to 100°, the 'ortho'-anhydrides with only three 'peri'-substituents slowly epimerize at 100° (cf. Scheme 7) due to the thermally induced inversion of the configuration of the heptalene skeleton.

1. Introduction. – Cyclic 'ortho'-anhydrides **2** of 1,2-dicarboxylic acids are known for over more than 70 years [1]. However, the only systematic investigations stem from *Kirpal*, who first characterized unequivocally this class of compounds and showed that 3,3-dichlorophthalides **1**, upon standing in alcoholic solutions at room temperature, form the corresponding 'ortho'-anhydrides **2** [2] [3]³). These 'asymmetric' phthalic esters, upon further standing in acidic alcoholic solution, are slowly transformed into the well-known symmetric phthalic esters **3**. This transformation instantaneously occurs in alcoholic solution in the presence of catalytic amounts of the corresponding alkoxide (Scheme 1)⁴)⁵).

Scheme 1



¹) Part of the planned Ph. D. thesis of *R. H. W.*, University of Basel/Switzerland.

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³) In older literature 'ortho'-anhydrides of type 2 are often called *pseudo*-esters (ψ -esters) or asymmetric esters [2-5].

⁴) The existence of 'anhydride-like' ethers of type **2** has been postulated by *Graebe* as early as 1883 [4].

⁵) Acid-sensitive 'ortho'-anhydrides like 2 (X = H) are only obtained in the presence of powdered CaCO₃ [3].

In the meantime, only few reports on 'ortho'-anhydrides of type 2 and related structures have been published (cf. [5-7])⁶). An interesting access to spirocyclic 'ortho'-anhydrides 2 (RR = C_2 moiety) has been discovered by Greene [10] in the course of investigations on the oxidation of olefins by phthaloyl peroxide.

We found that 'ortho'-anhydrides of type 6 to 8 are formed, when half-esters 4 and 5 of heptalene-1,2-dicarboxylic acids are treated with the iminium salt obtained from DMF and (COCl)₂, followed by addition of an alcohol in pyridine according to a procedure described by *Stadler* [11] for a mild esterification of acids (*Scheme 2*). In the cases investigated so far, the 'ortho'-anhydrides of type 7 are in a thermal equilibrium with their double-bond-shifted (DBS) isomers 8 [13]. Since we found these transformations to be a new method for the synthesis of new 'ortho'-anhydrides of 1,2-dicarboxylic acids, we here report on these reactions in detail. Furthermore, the nucleophilic addition of alcohols to heptalenes of type 4 under *Stadler* conditions also allows to characterize the topology of the non-planar, C_2 -twisted heptalene skeleton (*cf.* [12] [14–15]) with respect to its reactivity on the α - and β -side.



^a) In this and the following schemes '*Stadler*' means. *1*. Formation of the iminium salt from DMF and $(COCl)_2$ in MeCN at $< 0^\circ$. *2*. Addition of the corresponding half-ester of the heptalene-1,2-dicarboxylic acid at 0 to 10° . *3*. Addition of the corresponding alcohol in MeCN at 0° . Pyridine as a base may be omitted (*cf. Exper. Part*).

2. Results. -2.1. Reaction of 1-(Alkoxycarbonyl) heptalene-2-carboxylic Acids with Alcohols under Stadler Conditions. The acids can easily be obtained from the corresponding diesters by semi-saponification at room temperature (cf. [12–14]). Reaction according to Scheme 3 gives the corresponding cyclic 'ortho'-anhydrides in good yields. As by-products, small amounts of cyclic 1,2-anhydrides (cf. Exper. Part) and, in the case of sterically crowded alcohols, of open-chain 2,2'-anhydrides (cf. [12]) can be detected.

Photochemically stable, dark-yellow crystals of the racemic 'ortho'-anhydrides 6 and 10–16 are obtained from Et_2O /hexane solutions. Dissolved in aprotic solvents, the 'ortho'-

⁶) Recent investigations on ψ -esters and derivatives have been performed by *Fariña et al.* (cf. [8] and earlier lit. cit. therein) and the formation of a five-membered cyclic 'ortho'-imide has been described [9].





^a) Saponification with KOH in EtOH/H₂O at 20-40° (cf. Exper. Part).

b) In brackets, not-optimized yields of pure, crystallized material (cf. Exper. Part).

anhydrides are stable in the dark. Exposure to light photochemically equilibrates them to the corresponding DBS isomers (cf. [13]). Day or laboratory light is sufficient to induce this equilibrium process. Thermally induced DBS isomerization is strongly dependent on the nature of \mathbb{R}^2 . For 16 ($\mathbb{R}^2 = \mathbb{H}$), thermal equilibration is observed at room temperature. However, 16 (98.7%; $\Delta G_{303} = -11 \text{ kJ} \cdot \text{mol}^{-1}$) is strongly favored over its DBS isomer (1.3%) (cf. [13]). In solution, the 'ortho'-anhydrides with $\mathbb{R}^2 = \mathbb{M}$ e are thermally stable up to 80°. Above this temperature, equilibrium slowly starts. For 6, thermal equilibrium (100°, in tetralin; $\Delta G_{373} = -8.5 \text{ kJ} \cdot \text{mol}^{-1}$) mixture contains 94% of 6 and 6% of its DBS isomer [13]. Similar ΔG values may be expected for the other 'ortho'-anhydrides with $\mathbb{R}^2 = \mathbb{M}e^7$).

In alcoholic solution and in the presence of sodium alkoxide, the 'ortho'-anhydride 6 is easily transformed into the mixed diester (cf. 17, Scheme 4), which can also be obtained



^a) See Scheme 3. ^b) 1 mol-equiv. 0.06м EtONa in EtOH, 5 min at 20°. ^c) 1 mol-equiv. 0.06м EtONa in EtOH, 300 min at 40°.

⁷) We have shown earlier that the DBS isomer of 4 (Scheme 2) exclusively yields 6 under Stadler conditions in the presence of MeOH [13]. Therefore, the DBS isomers of the 'ortho'-anhydrides of type 6 can only be obtained by photochemical isomerization (cf. [13]).

by selective transesterification of the corresponding dialkyl heptalene-1,2-dicarboxylate (*cf.* also [15]). Under acidic conditions, the rearrangement $6 \rightarrow 17$ occurs only sluggishly, whereas the exchange of the alkoxy groups in **6** is fast (*cf.* [13]).

The structure of the 'ortho'-anhydrides 6 and 10 to 16 follows from the typical \tilde{v} (C=O) value between 1760 and 1775 cm⁻¹ in the IR, which is characteristic for unsaturated γ -lactones as well as for 'ortho'-anhydrides of type 2 (cf. [10])⁸).

In the ¹H-NMR spectra (CDCl₃, 30°), the two alkoxy groups of the 'ortho'-anhydrides are anisochronous, which demonstrates the stability of the configuration of the non-planar heptalene skeleton (cf. [13]). The stereographic representation of the 'ortho'-anhydride 18 (Scheme 5), isolated from the reaction of 4 with (\pm) -l-phenylethanol under Stadler conditions [12], clearly shows that the five-membered 'ortho'-anhydride ring adopts an envelope conformation with the 1-phenylethoxy group at the top in a pseudoaxial position and correspondingly the MeO group in a pseudo-equatorial position (cf. Fig. 1a). The latter position brings the α -MeO group in the shielding region of the C(14)=C(15) bond and allows the unambiguous assignment of the MeO groups in the 'ortho'-anhydrides 6, 14, and 16. Compared with the α -MeO group, the signal of the pseudo-axial β -MeO group is ca. 0.3 ppm at lower field (cf. [12] and Scheme 5)⁹). This effect is also observed in the 'ortho'-anhydrides 10 and 12 carrying EtO and PhCH₂O groups at the α - and β -side of the 'ortho'-anhydride ring. The CH, groups at the α -side absorb at significantly higher field as compared to the CH₂ groups on the β -side. Interestingly, ${}^{2}J(H, H)$ of the diastereotopic H's of the CH₂ group in α - and β -position are slightly different. This effect is small, but relevant, and can also be used for the assignment of the relative configuration of alkoxy groups (see below).

Scheme 5^a)



- ^a) Only the (P)-configuration of the heptalene skeleton is shown; δ in ppm, J in Hz.
- ^b) $\mathbf{R} = (S)$ -PhCH(Me).
- $^{\circ}$) R = Me.

⁸) For the angularly less strained heptalene 'ortho'-anhydrides ($R^2 = H$, Scheme 3) such as 16, $\tilde{v}(C=O)$ is at lower frequency (about 1762 cm⁻¹). The position of the C=C bonds in the heptalene skeleton does not seem to influence the C=O absorption in the corresponding 'ortho'-anhydrides (cf. Exper. Part and [13]).

⁹) That the high-field position of the signal of the pseudo-equatorial MeO group is indeed due to its orientation relative to the C(14)=C(15) bond can also be seen from the lower-field position of the corresponding signal in the spectrum of the DBS isomers. The difference is *ca*. 0.1 ppm (*cf.* [13] and *Exper. Part*).



^a) For ' α ' - and/or ' β ' - attack, see the text.

The unambiguous assignment of the α - and β -alkoxy groups by 'H-NMR correlation based on an X-ray structure analysis of **18** (cf. [12]) allows a stereochemical analysis of the nucleophilic attack of alcohols on the activated heptalene-2-carboxylic acids under Stadler conditions (cf. Scheme 6 and [13]). We assume that O-alkylated anhydrides such as **20** are intermediates. Otherwise, the electrophilic reactivity of the alkoxycarbonyl group at C(1) in the activated acid **19** would be hardly comprehensive. A β -attack of R²OH on **20** derived from **4** would lead to the 'ortho'-anhydrides **21**, **23**, or [²H₃]-6 β with the entered alkoxy group in the pseudo-axial position. Correspondingly, the α -attack would yield the diastereoisomeric 'ortho'-anhydrides **22**, **24**, and [²H₃]-6 α .

The substitution pattern of the alkoxy groups can be reversed, if we exchange the sequence of R¹ and R²; e.g. starting with the acid 9 or 11 the reaction under β -attack of 20 by MeOH would give 22 ($R^1 = Et$, $R^2 = Me$) or 24 ($R^1 = PhCH_2$, $R^2 = Me$). The results of the reaction of the 1-(Methoxycarbonyl)heptalene-2-carboxylic acids 4, 13, and 15 (cf. Schemes 3 and 6) with various alcohols ROH ($R \neq Me$) under Stadler conditions are collected in *Table 1*. The ratio of β/α attack could easily be determined by a 'H-NMR' measurement of the crude reaction mixtures (cf. Tables 1 and 2). A distinct dependence of the β/α ratio with respect to the structure of heptalene-2-carboxylic acid (horizontal ratios) as well as with respect to the degree of substitution at C(1) of the alcohol (vertical ratios) can be recognized. The degree of substitution at C(2) of the alcohol has no significant influence on the β/α ratio. The ratios are definitely kinetically controlled. The configuration of the heptalene skeleton is stable up to 100° for the tetramethyl- and (tert-butyl)-trimethyl-substituted 'ortho'-anhydrides (cf. [12] [13]). However, optically active dimethyl 7-isopropyl-5,10-dimethylheptalene-1,2-dicarboxylate racemizes slowly at room temperature [12]. Indeed, when 27 and 28 as well as 29 and 30 were heated at 100° in $C_2D_2Cl_4$, they were slowly interconverted within 24–30 h to a ca. 1:1 mixture of both diastereoisomers (Scheme 7). The slowness of epimerization ($\tau_{1/2}(100^\circ) \approx 4-6.5$ h) clearly shows that the β/α ratios for the i-Pr-substituted 'ortho'- anhydrides, are also kinetically controlled.

ROH	Formed 'ort	ho'-Anhydrides	([%])		
		-	OF OF OF	OF HOME	
[² H ₃]MeOH	6β ^b) (88)	6a (12)	14β (94) 14α (6)	16 \$ (84) 16\$	(16)
EtOH	21 °) (88)	22 ^c) (12)	25 (98) 26 (2)	27 (80) 28	(20)
PhCH ₂ OH	23 (92)	24 ^d) (8)	_ ^e) -	29 (90) 30	(10)
i-BuOH	31 (92)	32 (8)			
Neopentyl alcohol	33 (92)	34 (8)	$(100)^{f}$ -		
2-PhEtOH	36 (93)	37 (7)			
i-PrOH	38 (97)	39 (3)		40 (95) 41	(5)

 Table 1. Formation of Diastereoisomeric 'ortho'-Anhydrides from 1-(Methoxycarbonyl)heptalene-2-carboxylic

 Acids 4, 13, and 15, and Alcohols (ROH) under Stadler Conditions^a)

^a) See Schemes 2, 3, and 6. β/α ratios were determined in the crude reaction mixture according to the integration of the MeO signals at *ca*. 3.18 ppm (β -isomer) and 3.46 ppm (α -isomer) in the ¹H-NMR spectrum. See also Scheme 5 and Table 2.

^b) $[^{2}H_{3}]$ -**6** β etc.

^{c)} The reaction of the 1-(ethoxycarbonyl)heptalene-2-carboxylic acid 9 (cf. Scheme 6) with MeOH yielded 12% **21** (α -attack) and 88% **22** (β -attack).

^d) The reaction of the 1-(benzyloxycarbonyl)heptalene-2-carboxylic acid 11 (cf. Scheme 6) with MeOH yielded 24 as main product (β -attack). Amount of 23 was not determined.

e) Reaction not performed.

^f) Only the β -isomer was isolated in 61 % yield.



^a) Percentages after 24 and 30 h, respectively, at 100°. The isomerizations were performed with the racemates.

2.2. Reaction of 2-(Methoxycarbonyl)heptalene-1-carboxylic Acids with Alcohols under Stadler Conditions. Reaction of heptalene-1,2-dicarboxylic 1,2-anhydrides with MeOH in the presence of a slightly more than equimolar amount of MeONa at 18–20° predominantly yields corresponding acids (cf. [12] [13] and Scheme 8), which can be purified by crystallization. Under Stadler conditions, heptalene-1-carboxylic acids 5 and 44, in the presence of MeOH, form the corresponding 'ortho'-anhydrides of type 7 (cf. Scheme 2 and [13]), which are structurally isomeric with those discussed under 2.1. As already mentioned, these new compounds are constitutionally labile and undergo already a rapid DBS even at room temperature (cf. Scheme 2 and [13]). We could not assign signals of the MeO groups in the 'H-NMR spectra (7: 3.30 [3.32] and 3.41 [3.44] ppm¹⁰); 8:

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¹⁰) In square brackets, chemical shifts of corresponding i-Pr-substituted 'ortho'-anhydrides (cf. [13]).

		5	12	\Diamond		$\dot{\Delta}$		$ _{\mathbf{\hat{\Delta}}}$		b		5
R	MeO	ome R'CH ₂ O	Meo 2	R'OR R'CH ₂ O	MeO S	R'CH₂O	M60	ме R'CH ₂ O	MeO	R'CH ₂ O	MeO	R'CH ₂ O
[² H ₃]Me	3.18		3.47		3.18		3.43		3.17		3.46	1
Et	3.16	3.75/3.90	3.46	3.30/3.47	3.16	3.69/3.85	3.39	(q	3.16	3.76/3.89	3.45	3.35/3.42
		(6.8)		(0.6)		(9.7)				(9.8)		(6)
$PhCH_2$	3.23	4.77/4.90	3.52	4.40/4.53	I	I	I	1	3.22	4.77/4.89	3.53	4.36/4.61
		(11.2)		(11.6)						(11.2)		(10.8)
i-Bu	3.16	3.50/3.54	3.45	~ 3.50/3.55	I	I	I	I	I	1	l	ı
		(9.5)		(9.2)								
Neopentyl	3.17	3.41	3.44	(q	3.17	3.35	I	1	I	I	١	I
	[3.05]°)	[3.64/3.68]			[3.10]	[3.61/3.64]						
		(0.0)				(9.1)						
β -PhEt	3.15	3.93/4.05	3.41	1	I	I	I	ı	I	I	ł	I
a) ¹ H-NMR	chemical sh	ifts in ppm (CDC	J_1) and $^2J(0)$	H, H) in Hz in på	rentheses;	see also Exper. I	Part.					
^b) Chemical	l shift and 2J	(H,H) could not	be determin	ned.		T						
^c) Chemical	l shifts in C ₆ I	D ₆ in square bracl	kets, ² J(H,]	H) in parenthese	<i>i</i> ė							

Table 2. Chemical Shifts and Geminal Coupling Constants (²J(H, H)) of the Alkoxy Groups of the Diastereoisomeric 'ortho'-Anhydrides^a)

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3.432 [3.45] and 3.56 [3.49] ppm) to the pro-(R)- and pro-(S) positions of these groups. Furthermore, the reaction of 44 with EtOH under *Stadler* conditions led to the formation of a mixture of the corresponding ethoxymethoxy- and diethoxy-'ortho'-anhydride 45 and 46, respectively (cf. Scheme 9), in good yield. This observation indicates that the alkoxy groups in these 'ortho'-anhydrides can easily be exchanged under acidic conditions. Indeed, when the above mentioned mixture of 'ortho'-anhydrides was dissolved in H₂SO₄/EtOH (0.015M), it was completely transformed into the diethoxy-'ortho'-anhydride 46 (Scheme 9). Obviously, dialkoxy-'ortho'-anhydrides such as 46 can generally be obtained from heptalene-1-carboxylic acids such as 5 and 44.



^a) Only one DBS isomer of **45** and **46** is shown. The ¹H-NMR spectrum of **46** in CDCl₃ at -50° indicated a mixture of 16% of **46** and 84% of its DBS isomer **47** (*cf. Exper. Part*).

3. Discussion. – 3.1. Formation of the 'ortho'-Anhydrides. We postulated that charged O-alkylated anhydrides such as **20** (cf. Scheme 6) might be the crucial intermediates in the 'ortho'-anhydride formation (cf. also [13]). In principle, a concerted attack of the alcohol upon the ester C=O group at C(1) in the activated intermediate **19** and ring closure would directly lead to the 'ortho'-anhydrides. The structural setup in the 1-(alko-xycarbonyl)heptalene-2-carboxylic acids seems favorable for such a concerted reaction. Scheme 10 shows the relevant torsion angles between the two ester C=O groups and the corresponding C(10a)=C(1) and C(2)=C(3) bonds as well as the torsion angle between



^a) Torsion angles refering to the (P)-configuration of the heptalene skeletons.

the two carbonyl-C-atoms including the C(1)-C(2) bond of the heptalene skeleton as established by the X-ray structure analysis of dimethyl heptalene-1,2-dicarboxylates.

According to Scheme 10, the ester C=O group at C(2) adopts in all three cases a slightly staggered s-cis-conformation with respect to the C(2)=C(3) bond. In contrast, the ester C=O group at C(1) seems to populate more variable conformations and seems to be forced into a s-trans-arrangement with respect to the C(10a)=C(1) bond in the case of the angularly most strained t-Bu-substituted heptalene. In an arrangement presented in Scheme 10 (3rd example), acyloxyformamidinium intermediates of type **19** (cf. Scheme 6) would fulfill the stereochemical conditions for a concerted formation of 'ortho'-anhydrides. Such an arrangement would, indeed, lead to an incorporation of the nucleophile from the β -side of the formed 'ortho'-anhydride ring. However, it can be seen from Scheme 10 or from molecular models that for any torsion angle between 90 and 180° of the molecular segment O=C-C(1), C(10a) – which would allow an accompanying ring closure – the trajectory for a nucleophilic attack (cf. [18]) at the ester C=O group at C(1) is severely hindered by the Me group at C(10). The observed trend, namely an enhanced β -attack selectivity with increased substitution at C(1) of the alcohols (cf. Table 1), is in plain contradiction with this observation concerning the formation of the 'ortho'-anhydrides. On the other hand, a structural constellation, as a consequence, as found in the t-Bu-substituted heptalene would be ideal for a cyclization of 19 to give O-alkylated 1,2-anhydrides of type **20** (Scheme 6). Since one can expect a nearly unrestricted conformational freedom with respect to rotations around the C(1)-(C=O) and C(2)-(C=O)bond, there will always be an ideal constellation of both carboxyl moieties for ring closure to an anhydride structure, as long as there is a torsion angle in the O=C-C(1), C(2)-C=Osegment imposed by the heptalene skeleton (cf. 30 to 40° in Scheme 10)¹¹). These views are supported by our finding that the DBS isomer of 4 5-(methoxycarbonyl)-1,6,8,10-tetramethylheptalene-4-carboxylic acid does not yield the expected 'ortho'-anhydride, but exclusively its DBS isomer 6 under the usual Stadler conditions (cf. Scheme 8 in [13]). Furthermore, we found that neither methyl hydrogenphthalate, nor hydrogenmaleate, nor hydrogensuccinate could be transformed into the corresponding 'ortho'-anhydrides under Stadler conditions in the presence of MeOH. Instead, the normal dimethyl esters were obtained, while the maleate exclusively yielded dimethyl fumarate. So, there is good evidence that charged O-alkylated 1,2-anhydrides of type 20 (cf. Scheme 6) are intermediates in 'ortho'-anhydride formation. Heptalene-1,2-dicarboxylic anhydrides may serve as structural models for these reactive intermediates. Fig. 1b shows the dotted van der Waals surface of 8,10-dimethylheptalene-1,2-dicarboxylic anhydride (48) in a stereoprojection modelled according to the X-ray-structure analysis of the i-Pr-substituted anhydride 43 (Scheme 8) [13]. The presentation clearly visualizes that the β -side of the molecule is suitable for a nucleophilic attack at the C=O group at C(1). The Me group at C(10) cannot hinder the approach of a nucleophile, because the trajectory of the nucleophile will be bent to the upper left part above the molecule¹²). Evidently, the α -side of the

¹¹) According to molecular models, such 1,2-dicarboxylic structures should be governed by conformational directionality (cf. [19]) in a way that their torsional motions are not independent. These motions may take place like that of windscreen-wipers, thus, favoring 'in-out' (with respect to both C=O groups; cf. Scheme 10) constellations well-disposed for cyclization.

¹²) Nevertheless, the Me group at C(10) seems to exert a certain repulsion on the approaching nucleophiles, because the anhydrides **42** and **43** are mainly attacked by nucleophiles at the CO group at C(2) (cf. Scheme 8).



molecule is partially shielded by the bent heptalene skeleton, especially by the C(9)=C(10)bond and by the substituents at C(8). The C(9), C(10) segment is situated in a distance of about 3 Å to the assumed trajectory on the α -side of the heptalene-1,2-dicarboxylic anhydride. When the substituent at C(8) is a *t*-Bu group, then one of its Me groups always overlaps with the trajectory of the nucleophile at the α -side of the C=O group at C(1), in a distance of about 5 Å with respect to the C-atom of the C=O group. If the usual model for the nucleophilic approach of an alcohol to an activated C=O group (cf. Scheme 11) is assumed, then the observed β/α ratios in *Table I* can well be understood. The best stereochemical probe is $[{}^{2}H_{3}]$ MeOH, because the products should not be subjected to any diastereoisomeric discrimination. The observed amounts of product stemming from β -attack evidently decrease with increasing bulkiness of the substituent at C(8) in the heptalene-2-carboxylic acids: H (15) 16%, Me (4) 12%, and t-Bu (13) 6%. On the other hand, there is an abrupt change in the amount of products arising from β -attack, when there are two substituents \neq H at C(1) of the alcohols (cf. 4 + RCH₂OH (R = Me, Ph, i-Pr, t-Bu, PhCH₃) \rightarrow 12 to 7% β -attack; however, 4 + R₃CHOH \rightarrow 3% β -attack as well as 15 + EtOH \rightarrow 20% β -attack and 15 + i-PrOH \rightarrow 5% β -attack!). These results are in perfect agreement with the discussed topology of the heptalene skeleton.

However, there might be a further intramolecular factor which would influence the β/α ratio of the 'ortho'-anhydride formation and which might cooperate with the intermolecular 'steric' factors so far discussed. When a nucleophile approaches the C=O group at C(1) in our model anhydride 48 the C-O bond will start to bend (cf. [18]). This means that the O of the C=O group will move away from the Me-C(10) segment (β -attack) or towards it (α -attack). This is shown in a hypothetical model with two O-atoms attached to the C at C(1) in Fig. 1c. It clearly shows that the α -attack is unfavorable with respect to the induced bending mode of the C-O bond in opposite direction and towards the Me group at C(10). The final situation after the more favorable β -attack is visualized in Fig. 1d which shows the superposition of the structure of 48 with

Fig. 1. a) Stereoscopic projection of the X-ray-diffraction structure of racemic 3-methoxy-9,11,13,15-tetramethyl-3-(1'-phenylethoxy)-4-oxatricyclo[$8.5.0.0^{2.6}$]pentadeca-1,6,8,10,12,14-hexaen-5-one (**18**) in the (**P**,3**R**,1'S)-configuration, showing the envelope conformation of the five-membered 'ortho'-anhydride ring and the pseudo-axial position of the β -oriented 1'-phenylethoxy group. b) Stereoscopic projection with dotted van der Waals surface of the structure of 6,8-dimethylheptalene-1,2-dicarboxylic anhydride (**48**), derived from the X-ray-diffraction structure of racemic 7-isopropyl-5,10-dimethylheptalene-1,2-dicarboxylic anhydride (**43**). Heptalene skeleton is shown in the (P)-configuration. c) Hypothetical 'ortho'-anhydride model derived from **48** by computer-generated dioxy-group addition without modification of the conformation of the anhydride ring. Heptalene skeleton is shown in the (P)-configuration with relevant interatomic distances. d) Superposition of the structures of **48** (yellow) and **18** (red) (cf. Fig. Ia and b) showing the conformational changes in the five-membered ring segments with respect to the nearly unchanged heptalene skeletons.

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the structure of 18 (cf. Scheme 5 and Fig. 1a). The superposition documents that the main changes are indeed in the five-membered ring and that the entering β -substituent is forced to the pseudo-axial position.

A dominance of the intramolecular steric repulsions over the intermolecular steric interactions should lead to β/α ratios which are largely insensitive to changes in the bulkiness of the attacking nucleophile. However, this is not observed¹³).

3.2. Variation of the Geminal Coupling Constants of the Alkoxy Groups in the 'ortho'-Anhydrides. It is well established that ${}^{2}J(H, H)$ of the CH, groups varies appreciably with changes of substituents and of the H–C–H bond angle (cf. [20]). σ -Acceptor substituents at the CH₂ group lead to an increase in ${}^{2}J(H, H)$, *i.e.* make it more positive. The same effect is observed when the s-character in the C-H bonds increases, i.e. when the H-C-H bond angle increases. Our measurements (cf. Scheme 5 and Table 2) show that $^{2}J(H, H)$ of β -alkoxy groups in the pseudo-axial position is by ca. 0.8 Hz more negative than ${}^{2}J(\mathbf{H},\mathbf{H})$ of the corresponding α -alkoxy molety in the pseudo-equatorial position. Two factors may influence ${}^{2}J(H, H)$, namely steric compression of the H-C-H bond angle of the β -alkoxy group due to the proximity of the Me group at C(10) and an anomeric effect in the 'ortho'-anhydrides. The steric compression should slightly reduce the H-C-H bond angle and, therefore, the s-character in corresponding C-H bonds. This would lead to a more negative ${}^{2}J(H, H)$ in the pseudo-axial alkoxy group. However, the anomeric effect would act in the same direction, since the interaction between a lone-pair at the anhydride O-atom and the antibonding orbital of the pseudo-axial C-O bond will lead to a reduction of the electronegativity of the O-atom in pseudo-axial position in comparison to the O-atom in pseudo-equatorial positon¹⁴).



^a) In parentheses ²J(H, H) of the EtO groups. The torsion angles refer to the C(2)–C(3) bond in the (*P*)-configurated heptalenes. The X-ray-structure analysis of the dimethoxy-*'ortho'*-anhydride **6** (see *Exper. Part*) confirms the torsion angles given for **18**, *i.e.* the heavier 1-phenylethyl group in β -position at C(3) does not significantly influence the intrinsic torsion angles of the *'ortho'*-anhydride structure.

¹³) So far, we were not able to synthesize configurationally stable 1-(alkoxycarbonyl)heptalene-2-carboxylic acids without any substituent at C(10) and would, therefore, allow to differentiate more clearly the intra- and intermolecular factors.

¹⁴) According to the X-ray diffraction structure of 18, the pseudo-equatorial MeO group occupies a conformation which prevents an anomeric contribution from the pseudo-equatorial O-atom. For further examples of the influence of the anomeric effect on J, see [21].



Fig.2. Stereographic presentation of the ideal superposition of racemic 3-methoxy-9,11,13,15-tetramethyl-3-(1'phenylethoxy)-4-oxatricyclo[8.5.0.0^{2,6}]pentadeca-1,6,8,10,12,14- and -2(6),7,9,11,13,15-hexaen-5-one (18 and 50, respectively) in their (P,3R,1'S)-configuration (18: straight line, 50: dotted line). Optimum superposition with respect to the molecular segment C(2), O(4), C(5), C(6)¹⁵).

Can we differentiate between these two possible effects? Irradiation of the diethoxy-'ortho'-anhydride 10 leads to its DBS isomer 49 (Scheme 12), whose β -alkoxy group exhibits a slightly more positive ${}^{2}J(H, H)$ than the 'ortho'-anhydride 10. The X-ray analyses of the related 'ortho'-anhydrides 18 and 50 (cf. Scheme 12 and Fig. 2) [12] [13] show that the DBS mainly changes the conformation of the five-membered ring. The distinct envelope conformation in 18 is flattened to a nearly planar pentagon structure in 50. This can be recognized from the torsion angles around the C(2)–C(3) bond in 18 and 50 (cf. Scheme 12). This means that in 49, there is no preferred position of the two alkoxy groups at C(3) with respect to the ring O-atom and, therefore, an anomeric effect should vanish. On the other hand, Fig. 2 shows that in 18 and 50 the β -substituent is in nearly the same spatial relation to the Me group at C(10). The slightly more positive ${}^{2}J(H, H)$ for the β -EtO group in 49 ($\Delta ({}^{2}J(H, H) \approx 0.3 Hz)$ indicates that the contribution of a possible anomeric effect in 10 and related 'ortho'-anhydrides (cf. Scheme 5 and Table 2) cannot be more than a third of both discussed effects, and it cannot amount to more than 3% of ${}^{2}J(H, H)$.

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¹⁵) The presentation also makes clear the changes of the atomic positions of the heptalene skeleton in space induced by the DBS. It exemplifies why the DBS would not occur in the crystalline state.

Experimental Part

General. See [12-14] [17].

1. Syntheses of the 'ortho'-Anhydrides (cf. [12] [13]). – General Procedure (cf. [11]). The soln. of DMF in MeCN was kept at 0° under N₂ and (COCl)₂ in MeCN added within 2 min under stirring. CO₂ evolved after a short time and the iminium salt precipitated as a thick paste which was kept stirring by dilution with MeCN. After 5 min at 0° , the carboxylic acid was added and the mixture stirred until a clear soln. had been formed. To this soln., the alcohol in MeCN was added dropwise. After 15 min stirring at 0° , the mixture was poured into ice-water and extracted with Et₂O. The Et₂O extracts were washed with sat. NaHCO₃ soln. and H₂O. An ¹H-NMR was taken from the residue to determine the ratio of diastereoisomeric 'ortho'-anhydrides and the residue further purified by prep. TLC to remove traces of the starting acid and of the formed cyclic anhydride.

1.1. 3,3-Dimethoxy-9,11,13,15-tetramethyl-4-oxatricyclo[8.5.0.0^{2,6}]pentadeca-1,6,8,10,12,14-hexaen-5-one (6). See [12] [13].

1.2. (PM,3RS)- and (PM,3SR)-3-Methoxy-3- $[{}^{2}H_{3}]$ methoxy-9, 11, 13, 15-tetramethyl-4-oxatricyclo-[8.5.0.0²⁶]pentadeca-1,6,8,10,12,14-hexaen-5-one ([${}^{2}H_{3}]$ -6 β and [${}^{2}H_{3}$]-6 α). DMF (0.48 ml, 6.3 mmol) in MeCN (4 ml) was reacted with (COCl)₂ (0.15 ml, 1.8 mmol) in MeCN (2 ml), and acid 4 (0.3 g, 0.96 mmol) [12] was added, followed by [${}^{2}H_{3}$]MeOH (0.13 ml, 3.3 mmol; > 99.8% ²H) in MeCN (1 ml). The crude product (0.29 g, 92%) was recrystallized from Et₂O/hexane: 0.18 g (56%) of dark yellow crystals. M. p. 171–172°. IR (KBr): 2257, 2191, 2129, 2070 ([${}^{2}H_{3}$]MeO). ¹H-NMR (270 MHz): 3.181 (s, 2.64 H, MeO-C(3) of [${}^{2}H_{3}$]-6 β ; 88%) and 3.465 (s, 0.36 H, MeO-C(3) of [${}^{2}H_{3}$]-6 α ; 12%). Reference for integration: Me-C(9), Me-C(11), Me-C(13), or Me-C(15). MS: 329 (92, M^{++}), 314 (9, M^{++} - Me⁺), 297 (46, M^{++} - MeOH), 294 (47, $M^{++} - [{}^{2}H_{3}$]MeOH), 289 (10, $M^{++} - CH_{3}$ =CH), 282 (11, $M^{++} - (MeOH + Me^{+})$), 279 (14, $M^{++} - ([{}^{2}H_{3}]$ MeOH + Me⁺)), 193 (100).

1.3. (PM, 3 RS)-3-Ethoxy-3-methoxy-9, 11, 13, 15-tetramethyl-4-oxatricyclo [8.5.0.0^{2,6}] pentadeca-1,6,8,10,12,14-hexaen-5-one (21). DMF (0.3 ml, 3.8 mmol) in MeCN (2 ml) was reacted with (COCl)₂ (0.11 ml, 1.3 mmol) in MeCN (1.5 ml), and 4 (0.2 g, 0.64 mmol) [12] was added, followed by EtOH (0.38 ml, 6.5 mol) in MeCN (1 ml). The crude product (¹H-NMR: 88% of 21 and 12% of 22 (*cf. 1.9.3*)) was purified by prep. TLC (hexane/Et₂O 2:1) and recrystallized from Et₂O/hexane: 21 (0.154 g, 71%) in orange crystals. M.p. 144–145°. $R_{\rm f}$ (Et₂O/hexane 1:1) 0.51. UV: Identical with that of 6 [12]. IR (KBr): 1774 (5-ring lactone). ¹H-NMR (270 MHz): Identical with that of 6 [12] except for 1.191 (t, ³J = 7.15, β -CH₃CH₂); 3.164 (s, α -MeO); 3.751, 3.901 (each dq, ²J = 9.8, β -CH₃CH₂). MS: 340 (64, M^{++}), 309 (14, M^{++} – MeO'), 308 (26, M^{++} – MeOH), 295 (25, M^{++} – EtO'), 294 (40, M^{++} – EtOH), 193 (100). Anal. calc. for C₂₁H₂₄O₄ (340.42): C 74.09, H 7.11; found: C 73.90, H 7.01.

1.4. (PM, 3 RS) -3-Isobutoxy-3-methoxy-9, 11, 13, 15-tetramethyl-4-oxatricyclo [8.5.0.0^{2.6}] pentadeca-1,6,8,10,12,14-hexaen-5-one (**31**). DMF (0.32 ml, 4.2 mmol) in MeCN (3 ml) was reacted with (COCl)₂ (0.1 ml, 1.2 mmol) in MeCN (1.5 ml), and **4** (0.2 g, 0.64 mmol) [12] added, followed by i-BuOH (0.16 g, 2.2 mmol) in MeCN (1 ml). The crude product (¹H-NMR : 92% of **31** and 8% of **32**) was purified by prep. TLC (hexane/Et₂O 7:3) to yield yellow crystals (0.18 g, 76%) which were recrystallized from Et₂O/hexane. M.p. 135–136°. $R_{\rm f}$ (hexane/Et₂O 7:3) 0.41. UV: Identical with that of **6** [12]. IR (KBr): 1769 (5-ring lactone). ¹H-NMR (250 MHz): Identical with that of **6** except for 0.864, 0.881 (2 d, ³J = 6.7, β -(CH₃)₂CHCH₂); 1.815 (*sept.*-like, ³J = 6.7, β -(CH₃)₂CHCH₂); 3.162 (*s*, α -MeO); 3.501, 3.542 (2dd, ²J = 9.5, ³J = 6.7, β -(CH₃)₂CHCH₂). MS: 368 (57, M^+), 337 (8, M^{+*} – MeO'), 336 (28, M^{+*} – MeOH), 295 (44, M^{+*} – i-BuO'), 294 (75, M^{+*} – i-BuOH), 193 (100). Anal. calc. for C₂₃H₂₈O₄ (368.17): C 74.97, H 7.66; found: C 74.90, H 7.75.

(PM,3SR)-Isomer 32: characterized by its ¹H-NMR signal at 3.45 (s, β -MeO).

1.5. (PM,3RS)-3-Methoxy-9,11,13,15-tetramethyl-3-neopentyloxy-4-oxatricyclo[8.5.0.0^{2.6}] pentadeca-1,6,8,10,12,14-hexaen-5-one (**33**). The 'ortho'-anhydride formation was performed with 0.22 g (0.7 mmol) of **4** [12] and 0.2 g (2.2 mmol) of neopentyl alcohol (cf. 1.4). The crude product (¹H-NMR: 92% of **33** and 8% of **34**) was purified by prep. TLC (hexane/Et₂O 7:3) to yield red-orange crystals (0.22 g, 82%) which were recrystallized from Et₂O/hexane. M.p. 151–152°. R_f (hexane/Et₂O 7:3) 0.43. UV: Identical with that of **6** [12]. IR (KBr): 1768 (5-ring lactone). ¹H-NMR (250 MHz): Identical with that of **6** except for 0.868 (s, β -(CH₃)₃CCH₂); 3.166 (s, α -MeO); 3.414 (s, β -(CH₃)₃CCH₂). ¹H-NMR (250 MHz, C₆D₆): 0.840 (s, β -(CH₃)₃CCH₂); 1.499 (s, Me–C(11)); 1.673 (d-like s, ⁴J \approx 0.7, Me–C(13)); 1.747 (d-like s, ⁴J \approx 1.3, Me–C(9)); 2.315 (d-like s, ⁴J \approx 1.3, Me–C(12)); 7.392 (d, ³J = 6.2, H–C(7)). MS: 382 (46, M⁺⁺), 351 (4, M⁺⁺ – MeO'), 350 (16, M⁺⁺ – MeOH), 295 (51, M⁺⁺ – Me₃CCH₂O'), 294 (57, M⁺⁺ – Me₃CCH₂OH), 193 (100). Anal. calc. for C₂₄H₃₀O₄ (382.50): C 75.36, H 7.91; found: C 75.56, H 7.97.

(PM, 3SR)-Isomer 34: characterized by its ¹H-NMR signal at 3.44 (s, β -MeO).

1.6. (PM, 3 RS) - 3 - Benzyloxy - 3 - methoxy - 9, 11, 13, 15 - tetramethyl-4 - oxatricyclo [8.5.0.0^{2,6}] pentadeca-1,6,8,10,12,14 - hexaen-5-one (23). DMF (0.64 ml, 8.4 mmol) in MeCN (6 ml) was reacted with (COCl)₂ (0.2 ml, 2.4 mmol) in MeCN (3 ml), and acid 4 (0.4 g, 1.3 mmol) [12] was added, followed by PhCH₂OH (0.47 g, 4.4 mmol) in MeCN (1.5 ml). The crude product (¹H-NMR; 92% of 23 and 8% of 24 (cf. 1.12)) was purified by prep. TLC to yield orange crystals (0.36 g, 69%) which were recrystallized from Et₂O. M.p. 170–171°. R_{f} (Et₂O/hexane 1:1) 0.48. UV (cyclohexane): λ_{max} 212 (4.39), 247 (4.26), 268 (4.23), 308 (3.71, sh), 398 (2.98, br.); λ_{min} 228 (4.12), 257 (4.19), 370 (2.91). IR (KBr): 1767 (5-ring lactone). ¹H-NMR (270 MHz): Nearly identical with that of 6 and 18 [12] except for 3.228 (s, α -MeO); 4.769, 4.904 (2d, ²J = 11.2, β -PhCH₂O); 7.28–7.33 (struct. s, β -PhCH₂O). MS: 402 (68, M^{++} , 371 (4, M^{++} – MeC'), 370 (5, M^{++} – MeO'), 270 (4.02, 49): C 77.49, H 6.51; found: C 77.45, H 6.92.

1.7. (PM,3 RS)-3-Methoxy-9,11,13,15-tetramethyl-3-(2'-phenylethoxy)-4-oxatricyclo[8.5.0.0^{2.6}] pentadeca-1,6,8,10,12,14-hexaen-5-one (**36**). The 'ortho'-anhydride formation was performed with 0.2 g (0.64 mmol) of **4** [12] and 0.27 g (2.2 mmol) of β-PhEtOH (*cf.* 1.4). The crude product (¹H-NMR: 93% of **36** and 7% of **37**) was purified with prep. TLC (hexane/Et₂O 7:3) to yield yellow crystals (0.19 g, 71%) which was recrystallized from Et₂O 7:3. M.p. 128–129°. $R_{\rm f}$ (hexane/Et₂O 7:3) 0.34. UV: Nearly identical with that of **6** [12] and **23**. IR (KBr): 1767 (5-ring lactone). ¹H-NMR (250 MHz): Nearly identical with that of **6** [12] except for 2.877 (*t*, ³*J* = 7.5, β-PhCH₂CH₂); 3.153 (*s*, α-MeO); 3.927, 4.048 (2*dt*, ²*J* = 9.6, ³*J* = 7.7, β-PhCH₂CH₂); 7.15–7.31 (several signals, β-PhCH₂CH₂), H–C(7)). MS: 416 (36, *M*⁺⁺), 385 (5, *M*⁺⁺ – MeO⁺), 384 (13, *M*⁺⁺ – MeOH), 295 (21, *M*⁺⁺ – β-PhEtO⁺), 294 (48, *M*⁺⁺ – β-PhEtOH), 105 (100, PhEt⁺). Anal. calc. for C₂₇H₂₈O₄ (416.52): C 77.86, H 6.78; found: C 77.80, H 6.91. (PM,3SR)-Isomer **37**: characterized by its ¹H-NMR signal at 3.41 (*s*, β-MeO).

1.8. (PM, 3 RS) - 3- Isopropoxy - 3-methoxy -9, 11, 13, 15-tetramethyl-4-oxatricyclo [8.5.0.0^{2,6}] pentadeca-1,6,8,10,12,14-hexaen-5-one (**38**). The 'ortho'-anhydride formation was performed with 0.3 g (0.96 mmol) of **4** [12] and 0.2 g (3.3 mmol) of i-PrOH (cf. 1.2). The crude material (¹H-NMR: 97% of **38** and 3% of **39**) was purified by TLC (Et₂O/hexane 1:1) to yield orange crystals (0.22 g, 64%) which were recrystallized from Et₂O. M.p. 131-132°. $R_{\rm f}$ (hexane/Et₂O 7:3) 0.41. UV: Identical with that of **6** [12]. IR (KBr): 1767 (5-ring lactone). ¹H-NMR (270 MHz): Identical with that of **6** except for 1.169 and 1.237 (2d, ³J = 6.3, β -(CH₃)₂CH); 3.142 (s, α -MeO); 4.542 (sept., ³J = 6.3, β -(CH₃)₂CH). MS: 354 (72, M⁺⁺), 323 (5, M⁺⁺ - MeO'), 322 (17, M⁺⁺ - MeOH), 295 (42, M⁺⁺ - i-PrO'), 294 (70, M⁺⁺ - i-PrOH), 193 (100). Anal. calc. for C₂₂H₂₆O₄ (354.45): C 74.55, H 7.39; found: C 74.38, H 7.53.

(PM,3SR)-Isomer 39: characterized by its ¹H-NMR signal at 3.50 (s, β -MeO).

1.9. (PM, 3SR)-3-Ethoxy-3-methoxy-9, 11, 13, 15-tetramethyl-4-oxatricyclo [8.5.0. $0^{2.6}$] pentadeca-1,6,8,10,12,14-hexaen-5-one (22). 1.9.1. Diethyl 5,6,8,10-Tetramethylheptalene-1,2-dicarboxylate. Diethyl acetylendicarboxylate (ADE, 14.7 g, 86.4 mmol) and 1,4,6,8-tetramethylazulene (9.5 g, 51.6 mmol) [12] were heated in distilled tetralin (115 ml) under N₂ and stirring at 180° during 4.5 h. Tetralin was removed (50°/0.01 Torr) and the residual dark blue oil (31 g) chromatographed (1 kg silica gel, hexane/Et₂O 7:3) to yield, after recrystallization from Et₂O/hexane, pure heptalene-1,2-dicarboxylate (3.5 g, 19%) in yellow crystals and diethyl 4,6,8-trimethylazulene-1,2-dicarboxylate (3.2 g, 19%) in blue-violet crystals.

Diethyl 5,6,8,10-Tetramethylheptalene-1,2-dicarboxylate. M.p. 122-124°. $R_{\rm f}$ (hexane/Et₂O 7:3) 0.23, $R_{\rm f}$ (hexane/Et₂O 1:1) 0.38. UV (hexane): $\lambda_{\rm max}$ 210 (4.40), 235 (4.19, sh), 253 (4.19, sh), 263 (4.22), 318 (3.48, sh), 362 (2.98, br. sh, tailing to longer λ); $\lambda_{\rm min}$ 242 (4.17). IR (KBr): similar to that of the corresponding dimethyl dicarboxylate [12]; 1738, 1716 (COOR). ¹H-NMR (80 MHz): nearly identical with that of the corresponding dimethyl dicarboxylate [12] except for 1.26 (t, ³J = 7.3, 2 CH₃CH₂); 4.17 (q, ³J = 7.3, CH₃CH₂OOC-C(1)); 4.19 (q, ³J = 7.3, CH₃CH₂OOC-C(2)). MS: 354 (100, M^{++}), 329 (12), 325 (11, M^{++} - Et⁺), 309 (23, M^{++} - EtO⁺), 256 (9, M^{++} - HC=CCOOEt), 242 (23, M^{++} - CH₃C=CCOOEt), 184 (96, M^{++} - ADE). Anal. calc. for C₂₂H₂₆O₄ (354.45): C 74.55, H 7.39; found: C 74.41, H 7.32.

Diethyl 4,6,8-Trimethylazulene-1,2-dicarboxylate. M.p. 104–106°. R_f (hexane/Et₂O 7:3) 0.14 R_f (Et₂O) 0.59. UV (hexane): λ_{max} 220 (4.13), 250 (4.50), 293 (4.74), 304 (4.79), 340 (3.79, sh), 351 (3.83), 368 (3.84); λ_{min} 224 (4.12), 267 (3.89), 297 (4.70), 324 (3.62), 362 (3.64). IR and ¹H-NMR are similar to those of the dimethyl dicarboxylate [12]. Anal. cale. for C₁₉H₂₂O₄ (314.38): C 72.59, H 7.05; found: C 72.37, H 7.17.

1.9.2. *1-(Ethoxycarbonyl)-5,6,8,10-tetramethylheptalene-2-carboxylic Acid* (9). The diethyl ester (1.2 g, 3.4 mmol) was suspended in EtOH (21 ml) and a soln. of KOH (4.2 g, 75 mmol) in H₂O (21 ml) added. After 6 h stirring at 40°, the diethyl ester had been consumed (TLC). The mixture was diluted with H₂O, extracted with Et₂O, and acidified with 25% aq. HCl. The precipitated acid 9 was extracted with CH₂Cl₂ and recrystallized from Et₂O: 0.85 g (77%) of pure material in yellow crystals. M.p. 186–188° (dec.). R_f (AcOEt/hexane/AcOH 50:50:1) 0.52. IR (KBr): 1722 (COOR), 1682 (COOH). ¹H-NMR (80 MHz): identical with that of **4** [12] except for 1.22 (t, ³J = 7.1, CH₃CH₂); HOOC-C(2) not determined. MS: 326 (100, M^+), 281 (32, M^{++} – EtO⁺), 280 (85, M^{++} – EtOH). Anal. calc. for C₂₀H₂₂O₄ (326.39): C 73.60, H 6.79; found: C 73.28, H 6.79.

1.9.3. Formation of **22**. The 'ortho'-anhydride was formed from 0.4 g (1.23 mmol) of **9** and 0.18 ml (4.3 mmol) of MeOH (cf. 1.6). The oily crude product (¹H-NMR: 88% of **22** and 12% of **21** [cf. 1.3]) was crystallized from Et₂O/hexane: 0.18 g (44%) of pure **22** in red crystals. M.p. 138–139°. $R_{\rm f}$ (Et₂O/hexane 1:1) 0.51. UV: identical with that of **6** [12]. IR (KBr): nearly identical with that of **21**; 1767 (5-ring lactone). ¹H-NMR (270 MHz): identical with that of **6** [12] and **21** except for 1.160 (t, ³J = 7.06, α -CH₃CH₂O); 3.301, 3.468 (2dq, ²J = 9.0, ³J = 7.06, α -CH₃CH₂O); 3.458 (s, β -MeO). MS: 340 (86, M^{++}), 309 (25, M^{++} – MeO'), 308 (36, M^{++} – MeOH), 295 (23, M^{++} – EtO'), 294 (54, M^{++} – EtOH), 193 (100). Anal. calc. for C₂₁H₂₄O₄ (340.42): C 74.09, H 7.11; found: C 73.87, H 7.15.

1.10. (PM)-3,3-Diethoxy-9,11,13,15-tetramethyl-4-oxatricyclo[8.5.0.0^{2,6}] pentadeca-1,6,10,12,14-hexaen-5one (10). DMF (0.57 ml, 7.5 mmol) in MeCN (5 ml) was reacted with (COCl)₂ (0.18 ml, 2.2 mmol) in MeCN (3 ml) and 9 (0.38 g, 1.16 mmol) added, followed by EtOH (0.24 ml, 4.1 mmol) in MeCN (1 ml). Product 10 (0.40 g, 97%) precipitated during the reaction. It was recrystallized from Et₂O to yield 10 (0.19 g, 46%) in dark yellow crystals. M.p. 161–162°. $R_{\rm f}$ (hexane/Et₂O 7:3) 0.40, $R_{\rm f}$ (Et₂O/hexane 1:1) 0.54. UV (cyclohexane): $\lambda_{\rm max}$ 212 (4.27), 246 (4.27), 268 (4.24), 310 (3.69, sh), 400 (2.96, br. tailing to longer λ); $\lambda_{\rm min}$ 227 (4.12), 257 (4.20), 370 (2.89). IR (KBr): 1769 (5-ring lactone). ¹H-NMR (270 MHz): identical with that of 6 [12] except for 1.147 (t, ³J = 7.04, α -CH₃CH₂O); 1.191 (t, ³J = 7.09, β -CH₃CH₂O); 3.296, 3.452 (2dq, ²J = 9.0, ³J = 7.04, α -CH₃CH₂O); 3.719, 3.888 (2dq, ²J = 9.8, ³J = 7.09, β -CH₃CH₂O). MS: 354 (86, M^+), 309 (57, M^+ – EtO⁺), 308 (100, M^+ – EtOH), 193 (89). Anal. calc. for C₂₂H₂₆O₄ (354.45): C 74.55, H 7.39; found: C 74.46, H 7.45.

1.11. (PM)-3,3-Dibenzyloxy-9,11,13,15-tetramethyl-4-oxatricyclo[$8.5.0.0^{26}$]pentadeca-1,6,8,10,12,14-hexaen-5-one (12). 1.11.1. Dibenzyl 5,6,8,10-Tetramethylheptalene-1,2-dicarboxylate. To PhCH₂OH (5 ml) was added NaH (ca. 10 mg of a 80% dispersion in mineral oil) and the mixture stirred until the NaH had been dissolved under evolution of H₂. The corresponding dimethyl ester (0.5 g, 1.5 mmol) [12] was introduced and the mixture heated at 100° during 17 h under Ar and stirring. After cooling, Et₂O was added and the org. phase washed with 0.1 N HCl, sat. NaHCO₃ soln., and H₂O. PhCH₂OH was removed by distillation (120°/0.01 Torr) and the residue purified by prep. TLC (Et₂O/hexane 1:1) to yield, after crystallization from Et₂O, 0.40 (54%) of the corresponding dibenzyl dicarboxylate in yellow crystals. M.p. 124–125°. R_f (Et₂O/hexane 1:1) 0.57. UV (hexane): λ_{max} 207 (4.62), 263 (4.23), 314 (3.53, sh), 370 (2.91, br. tailing to longer λ); λ_{min} 245 (4.19). IR (KBr): 1712 (COOR). ¹H-NMR (250 MHz): nearly identical with that of the corresponding dimethyl ester [12] except for 4.917, 4.948 (2d, ²J = 12.7, PhCH₂OOC-C(1), PhCH₂OOC-C(2)). MS: 478 (0.6, M⁺⁺), 387 (31, M⁺⁺ - PhCH₂), 91 (100, PhCH²₂). Anal. calc. for C₃₂H₃₀O₄ (478.59): C 80.31, H 6.32; found: C 80.19, H 6.41.

1.11.2. 1-Benzyloxy-5,6,8,10-tetramethylheptalene-2-carboxylic Acid (11). The dibenzyl ester (0.35 g, 0.73 mmol) was suspended in EtOH (6 ml) and a soln. of KOH (0.9 g, 16 mmol) in H₂O (5 ml) added. The suspension had not been dissolved after 6 h stirring at 40°. Therefore, further EtOH (5 ml) was added and stirring continued for additional 23 h at 40°. Workup and crystallization from Et₂O yielded 11 (0.14 g, 49%) in dark-yellow crystals. M.p. 172-174° (decomp.) R_f (AcOEt/hexane/AcOH 50:50:1) 0.50. IR (KBr): 1721 (COOR), 1685 (COOH). ¹H-NMR (250 MHz): nearly identical with that of 4 [12] except for 5.088, 5.136 (2d, ²J = 12.2, PhCH₂); 7.2-7.35 (several signals, PhCH₂). MS: 388 (3, M^+), 297 (52, M^+ – PhCH₂), 280 (100, M^+ – PhCH₂OH). Anal. calc. for C₂₅H₂₄O₄ (388.46); C 77.30, H 6.23; found: C 77.20, H 6.37.

1.11.3. Formation of **12**. Acid **11** (0.2 g, 0.51 mmol) was reacted with PhCH₂OH (0.16 g, 1.5 mmol) in MeCN according to the *General Procedure*. The crude product was purified by TLC (Et₂O/hexane 1:1), whereby about 25 mg of anhydride **42** were removed. After recrystallization from Et₂O, pure **12** (0.14 g, 57%) was obtained in dark yellow crystals. M.p. 170–171°. R_f (hexane/Et₂O 7:3) 0.37, R_f (Et₂O/hexane 1:1) 0.66. UV (hexane): λ_{max} 205 (5.15), 247 (4.25), 270 (4.23), 314 (3.65, sh), 400 (2.94, br. tailing to longer λ); λ_{min} 232 (4.18), 258 (4.19), 370 (2.85). IR (KBr): 1770 (5-ring lactone). ¹H-NMR (250 MHz): nearly identical with that of **6** and **18** [12] except for 4.449, 4.572 (2d, ²J = 11.7, α-PhCH₂O); 4.804, 4.972 (2d, ²J = 11.2, β-PhCH₂O); 7.25–7.40 (several signals, 2 *Ph*CH₂, H–C(7)). MS: 478 (11, M^{++}), 371 (4, M^{++} – PhCH₂O'), 370 (7, M^{++} – PhCH₂OH), 91 (100, PhCH₂⁺). Anal. calc. for C₃₂H₃₀O₄ (478.59): C 80.31, H 6.32; found: C 80.14, H 6.32.

1.12. (PM, 3SR) - 3- Benzyloxy-3-methoxy-9, 11, 13, 15-tetramethyl-4-oxatricyclo [8.5.0.0^{2.6}] pentadeca-1,6,8,10,12,14-hexaen-5-one (24). Acid 11 (0.3 g, 0.77 mmol) was reacted with MeOH (0.11 ml, 2.7 mmol) in MeCN according to the General Procedure. The crude material was prepurified by TLC (Et₂O/hexane) and small amounts of 42 removed by crystallization from Et₂O/hexane. The pure 'ortho'-anhydride 24 (0.10 g, 32%) was obtained in red-orange crystals. M.p. 132–133°. $R_{\rm f}$ (hexane/Et₂O) 0.39, $R_{\rm f}$ (Et₂O/hexane 1:1) 0.48. UV (cyclohexane): $\lambda_{\rm max}$ 210 (4.51), 229 (4.24), 244 (4.25, sh), 248 (4.26), 254 (4.23), 261 (4.23, sh), 270 (4.26), 314 (3.68, sh), 400 (3.03, br. tailing to longer λ); $\lambda_{\rm min}$ 225 (4.24), 236 (4.21), 253 (4.23), 258 (4.21), 370 (2.98). IR (KBr): 1768 (5-ring lactone). ¹H-NMR (250 MHz, cf. 1.6): nearly identical with that of 6 and 18 [12] except for 3.512 (s, β -MeO); 4.404, 4.525 (2d, ${}^{2}J = 11.6, \ \alpha - PhCH_{2}O); \ 7.25-7.40$ (several signals, $\alpha - PhCH_{2}O, \ H-C(7)).$ MS: 402 (38, $M^{++}), \ 371$ (3, $M^{++} - MeO^{+}), \ 370$ (2, $M^{++} - MeOH), \ 295$ (15, $M^{++} - PhCH_{2}O^{+}), \ 294$ (37, $M^{++} - PhCH_{2}OH), \ 193$ (60), 91 (100, PhCH₂⁺). Anal. calc. for $C_{26}H_{26}O_{4}$ (402.49): C 77.59, H 6.51; found: C 77.35, H 6.66.

1.13. (PM)-13- (tert-Butyl)-3, 3-dimethoxy-9, 11, 15-trimethyl-4-oxatricyclo [8.5.0.0^{2.6}] pentadeca-1,6,8,10,12,14-hexaen-5-one (14). 1.13.1. 13-(tert-Butyl)-1-(methoxycarbonyl)-9,11,15-trimethylheptalene-2-carboxylic Acid (13). The corresponding dimethyl ester (1.2 g, 3.26 mmol) [12] was suspended in EtOH (20 ml) and semi-saponified in the presence of KOH (4.0 g, 71 mmol) in H₂O (20 ml) at 40° during 4 h. Usual workup yielded 1.1 g (95%) of crystallized crude 13, a probe of which was recrystallized from Et₂O. M.p. 171–172° (decomp.). $R_{\rm f}$ (AcOEt/hexane/AcOH 50:50:1) 0.47. IR (KBr): 1730 (COOR), 1689 (COOH). ¹H-NMR (250 MHz): nearly identical with that of the dimethyl ester [12] except for 3.658 (s, MeOOC); 10.9 (br. s, COOH). MS: 354 (98, M^{+*}), 339 (16, $M^{+*} - \text{Me}^{-}$), 323 (22, $M^{+*} - \text{MeO}^{-}$), 322 (64, $M^{+*} - \text{MeOH}$), 272 (25, $M^{+*} - (\text{t-Bu})C\equiv \text{CH}$), 240 (100). Anal. calc. for C₂₂H₂₆O₄ (354.45): C 74.55, H 7.39; found: C 74.48, H 7.43.

1.13.2. Formation of 14. The acid 13 (0.2 g, 0.56 mmol) was reacted with MeOH (0.082 ml, 2.0 mmol) in MeCN according to the General Procedure. The crude oily product was crystallized from Et₂O/hexane to yield 13 (0.16 g, 77%) as orange crystals. M.p. 140–141°. $R_{\rm f}$ (hexane/Et₂O 7:3; cf. $R_{\rm f}$ (6) 0.32) 0.36. UV (cyclohexane): $\lambda_{\rm max}$ 211 (4.29), 248 (4.28), 268 (4.23) 313 (3.70, sh), 400 (3.00, br. tailing to longer λ); $\lambda_{\rm min}$ 228 (4.04), 258 (4.20), 370 (2.97). IR (KBr): 1775 (5-ring lactone). ¹H-NMR (270 MHz): 1.178 (s, t-Bu); 1.760 (s, Me–C(11)); 2.073 (br. s with f.s., Me–C(9)); 2.193 (d-like s, ⁴J = 1.1, Me–C(15)); 3.176 (s, α -MeO); 3.433 (s, β -MeO); 6.302 (br. s, H–C(12)); 6.339 (br. s with f.s., H–C(14)); 6.458 (dg, ³J = 6.3, ⁴J = 1.5, H–C(8)); 7.222 (d, ³J = 6.3, H–C(7)). ¹H-DR (270 MHz): 2.073 (Me–C(9)) \rightarrow 6.458 (d, ³J = 6.3, H–C(8)); 2.193 (Me–C(15)) \rightarrow 6.339 (s, H–C(14)). MS: 368 (100, M⁺⁺), 353 (19, M⁺⁺ – Me⁺), 337 (43, M⁺⁺ – MeO⁺); 336 (66, M⁺⁺ – MeOH); 286 (95, M⁺⁺ – (t-Bu)C≡CH). Anal. calc. for C₂₃H₂₈O₄ (368.47): C 74.97, H 7.66; found: C 74.92, H 7.69.

1.14. (PM,3RS)- and (PM,3SR)-13-(tert-Butyl)-3-methoxy-3-[²H₃]methoxy-9,11,15-trimethyl-4-oxatricyclo[8.5.0.0^{2.6}]pentadeca-1,6,8,10,12,14-hexaen-5-one ([²H₃]-14β and [²H₃]-14α). According to the General Procedure acid 13 (0.15 g, 0.42 mmol) and [²H₃]MeOH (0.062 ml, 1.5 mmol; > 99.8% ²H) were reacted in MeCN. The crude product crystallized from Et₂O/hexane to yield 0.134 g (85.2%) of pure material in orange crystals. M.p. 140-141°. IR (KBr): 2230, 2175, 2110, 2060 ([²H₃]MeO); 1775 (5-ring lactone). ¹H-NMR (250 MHz): identical with that of 14 except for 3.176 (s, 2.82 H, α-MeO, 94%), 3.433 (s, 0.18 H, β-MeO, 6%). Reference for integration: Me-C(11). MS: 371 (100, M^{++}), 356 (17, $M^{++} - Me^{-}$), 340 (18, $M^{++} - MeO^{-}$), 337 (22, $M^{++} - [^{2}H_{3}]MeO^{-}$), 336 (31, $M^{++} - [^{2}H_{3}]MeOH$), 289 (97, $M^{++} - (t-Bu)C \equiv CH$). Anal. calc. for C₂₃H₂₅²H₃O₄ (371.49): C 74.36, H 6.78, ²H 1.63; found: C 74.29, H 6.82, ²H 1.64.

1.15. (PM,3RS)-13-(tert-Butyl)-3-ethoxy-3-methoxy-9,11,15-trimethyl-4-oxatricyclo[8.5.0.0^{2.6}]pentadeca-1,6,8,10,12,14-hexaen-5-one (25). Acid 13 (0.2 g, 0.56 mmol) was reacted with EtOH (0.12 mJ, 2 mmol) in MeCN according to the *General Procedure*. The crude product (¹H-NMR: 98% of 25 and 2% of 26) was recrystallized from Et₂O/hexane: 0.15 g (69%) red-orange crystals of pure 25. M.p. 142–143°. $R_{\rm f}$ (hexane/Et₂O 7:3) 0.41. UV (cyclohexane): $\lambda_{\rm max}$ 211 (4.30), 248 (4.30), 268 (4.24), 312 (3.72, sh), 400 (3.03, br. tailing to longer λ); $\lambda_{\rm min}$ 228 (4.04), 258 (4.21), 370 (2.97). IR (KBr): 1770 (5-ring lactone). ¹H-NMR (250 MHz): nearly identical with that of 14 except for 1.189 (t, ³J = 7.1, β -CH₃CH₂O); 3.162 (s, α -MeO); 3.692, 3.846 (2dq, ²J = 9.7, ³J = 7.1, β -CH₃CH₂O). MS: 382 (100, M^+), 367 (15, M^{++} – Me⁺), 351 (22, M^{++} – MeO⁺), 350 (37, M^{++} – MeOH), 337 (37, M^{++} – EtO⁺), 336 (57, M^{++} – EtOH), 300 (64, M^{++} – (t-Bu)C≡CH), 193 (47). Anal. calc. for C₂₄H₃₀O₄ (382.50): C 75.36, H 7.91; found: C 75.32, H 7.97.

(PM, β SR)-Isomer 26: characterized by its ¹H-NMR signal at 3.39 (s, β -MeO).

1.16. (PM,3RS)-13-(tert-Butyl)-3-methoxy-9,11,15-trimethyl-3-neopentyloxy-4-oxatricyclo[8.5.0.0^{2.6}]pentadeca-1,6,8,10,12,14-hexaen-5-one (**35**). Acid **13** (0.2 g, 0.56 mmol) was reacted with neopentyl alcohol (0.18 g, 2 mmol) in MeCN according to the *General Procedure*. The product was purified by prep. TLC (hexane/Et₂O 7:3) and then recrystallized from Et₂O: pure **35** (0.145 g, 61%) as orange crystals. M.p. 170–171^{*}. R_{f} (hexane/Et₂O 0.46. UV: identical with that of **25**. IR (KBr): 1771 (5-ring lactone). ¹H-NMR (250 MHz): nearly identical with that of **14** except for 0.873 (s, β -(CH₃)₃CCH₂O); 3.174 (s, α -MeO); 3.350 (*AB*-like br. $s, {}^{2}J \approx 9.2, \beta$ -(CH₃)₃CCH₂O). ¹H-NMR (250 MHz, C₆D₆): nearly identical with that of **33** except for 0.852 (s, (CH₃)₃CCH₂O); 3.104 (s, α -MeO); 3.608, 3.644 (2 $d, {}^{2}J = 9.1, \beta$ -(CH₃)₃CCH₂). MS: 424 (83, M^+), 409 (15, M^{++} – Me⁺), 393 (7, M^{++} – MeO⁺), 392 (21, M^{++} – MeOH), 342 (46, M^{++} – (*t*-Bu)C=CH), 337 (85, M^{++} – (CH₃)₃CCH₂O), 336 (86, M^{++} – (CH₃)₃CCHOH), 221 (65). Anal. calc. for C₂₇H₃₈O₄ (424.58): C 76.38, H 8.55; found: C 76.09, H 8.71.

1.17. 12-Isopropyl-3,3-dimethoxy-9,15-dimethyl-4-oxatricyclo[8.5.0.0^{2,6}]pentadeca-1,6,8,10,12,14-hexaen-5-one (16). See [13].

1.18. (PM,3RS)- and (PM,3SR)-12-Isopropyl-3-methoxy-3- $[{}^{2}H_{3}]$ methoxy-9,15-dimethyl-4-oxatricyclo-[8.5.0.0^{2.6}] pentadeca-1,6,8,10,12,14-hexaen-5-one ([${}^{2}H_{3}$]-16 β and [${}^{2}H_{3}$]-16 α , resp.). DMF (0.25 ml, 3.3 mmol) in

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MeCN (5 ml) was reacted with (COCl)₂ (0.15 ml, 1.8 mmol) in MeCN (3 ml), and **15** (0.3 g, 0.92 mmol) [12] was added, followed by $[^{2}H_{3}]$ MeOH (0.1 ml, 2.5 mmol; > 99.8% 2 H) in MeCN (0.5 ml). The crude product was purified by prep. TLC (Et₂O/hexane 1:1) and crystallization from Et₂O yielded 0.18 g (56%) of the pure compound in ruby crystals. M.p. 121–122° (*cf.* [13]). IR (KBr): 2251, 2076 ($[^{2}H_{3}]$ MeO). 1 H-NMR (250 MHz): 3.171 (*s*, 2.52 H, MeO of $[^{2}H_{3}]$ -**16\mu**; 84%) and 3.463 (*s*, 0.48 H, MeO of $[^{2}H_{3}]$ -**16\alpha**; 16%). Reference for integration: Me–C(9) and Me–C(15). MS: 343 (100, M^{++}), 328 (12, $M^{++} - Me^{+}$), 312 (45, $M^{++} - MeO^{+}$), 311 (79, $M^{++} - MeOH$), 309 (47, $M^{++} - [^{2}H_{3}]$ MeOH), 296 (14, $M^{++} - (MeOH + Me^{+})$), 293 (15, $M^{++} - ([^{2}H_{3}]$ MeOH + Me^{+})), 275 (41, $M^{++} - (i-Pr)C\equiv CH$), 207 (90).

1.19. (PM, 3RS) -3-Ethoxy-12-isopropyl-3-methoxy-9, 15-dimethyl-4-oxatricyclo [8.5.0.0^{2.6}] pentadeca-1,6,8,10,12,14-hexaen-5-one (27). Acid 15 (0.5 g, 1.53 mmol) was reacted with EtOH (0.24 ml, 4 mmol) in MeCN (8 ml in total) according to the *General Procedure*. Anhydride 43 [12], which was formed to an extent of 23% (0.11 g), was removed by prep. TLC (hexane/Et₂O 7:3), and the crude product (¹H-NMR: 80% of 27 and 20% of 28) recrystallized from Et₂O/hexane: 0.17 g (31%) of brick-red crystals of pure 27. M.p. 130–131°. R_f (Et₂O/hexane 1:1) 0.54. UV: Identical with that of 16 [13]. IR (KBr): 1764 (5-ring lactone). ¹H-NMR (270 MHz): nearly identical with that of 16 [13] except for 1.191 (t, ³J = 7.14, β -CH₃CH₂O); 3.156 (s, α -MeO); 3.763, 3.887 (2d, ²J = 9.8, ³J = 7.14, β -CH₃CH₂O). MS: 354 (92, M^+), 339 (4, M^+ – Me'), 323 (39, M^+ – MeO'), 322 (77, M^+ – MeOH), 309 (20, M^+ – EtO'), 308 (100, M^+ – EtOH), 207 (66). Anal. calc. for C₂₂H₂₆O₄ (354.45): C 74.55, H 7.39; found: C 74.34. H 7.64.

(PM,3SR)-Isomer 28: characterized by its ¹H-NMR signal at 3.45 (s, β -MeO); see also 2.6.

1.20. (PM,3RS)-3-Benzyloxy-12-isopropyl-3-methoxy-9,15-dimethyl-4-oxatricyclo[8.5.0.0^{2,6}] pentadeca-1,6,8,10,12,14-hexaen-5-one (**29**). According to the General Procedure, **15** (0.3 g, 0.92 mmol) [12] was reacted with PhCH₂OH (0.26 ml, 2.5 mmol) in MeCN (6 ml in total). The by-product **43** was removed by prep. TLC (hexane/Et₂O 7:3), and the brown-red oil (0.30 g, 78%; ¹H-NMR: 90% of **29** and 10% of **30**) was crystallized from hexane: 0.16 g (41%) of **29** in brown-red crystals. M.p. 114–115°. R_{f} (hexane/Et₂O 7:3) 0.40. UV (cyclohexane): λ_{max} 212 (4.41), 249 (4.31), 261 (4.22, sh), 279 (4.11, sh), 320 (365, sh), 410 (2.93, br. tailing to longer λ); λ_{min} 230 (4.16). IR (KBr): 1760 (5-ring lactone). ¹H-NMR (250 MHz): nearly identical with that of **16** [13] except for 3.221 (s, α-MeO); 4.775, 4.886 (2d, ²J = 11.2, β-PhCH₂O); 7.25–7.40 (several signals, β-PhCH₂O). MS: 416 (28, M⁺⁺), 385 (2, M⁺⁺ - MeO'), 384 (< 1, M⁺⁺ - MeOH), 325 (7, M⁺⁺ - PhCH₂), 309 (22, M⁺⁺ - PhCH₂O'), 308 (46, M⁺⁺ - PhCH₂OH), 207 (42), 91 (100, PhCH₂⁺). Anal. calc. for C₂₇H₂₈O₄ (416.52): C 77.86, H 6.78; found: C 77.74, H 6.90.

(PM, 3SR)-Isomer 30: characterized by its ¹H-NMR signal at 3.53 (s, β -MeO); see also 2.7.

1.21. (PM,3RS)-3-Isopropoxy-12-isopropyl-3-methoxy-9, 15-dimethyl-4-oxatricyclo [8.5.0.0^{2.6}] pentadeca-1,6,8,10,12,14-hexaen-5-one (40). Acid 15 (0.4 g, 1.2 mmol) [12] was reacted with i-PrOH (0.26 ml, 3.3 mmol) in MeCN (9 ml in total) according to the *General Procedure*. Traces of 43 were removed by prep. TLC (Et₂O/hexane 1:1), and the crude product (0.27 g, 59%; ¹H-NMR: 95% of 40 and 5% of 41) was recrystallized from Et₂O: 0.16 g (35%) of pure 40 in ruby crystals. M.p. 140–141°. $R_{\rm f}$ (Et₂O/hexane 1:1) 0.57. UV: identical with that of 16[13]. IR (KBr): 1764 (5-ring lactone). ¹H-NMR (250 MHz): nearly identical with that of 16 except for 1.168, 1.239 (2d, ³J = 6.2, β -(CH₃)₂CHO); 3.136 (s, α -MeO); 4.534 (sept., ³J = 6.2., (CH₃)₂CHO). MS: 368 (63, M^+), 337 (8, M^{++} – MeO'), 336 (25, M^{++} – MeOH), 309 (65, M^{++} – i-PrO'), 308 (100, M^{++} – i-PrOH), 207 (82). Anal. calc. for C₂₃H₂₈O₄ (368.47): C 74.97, H 7.66; found: C 75.26, H 7.68.

(PM,3SR)-Isomer 41: characterized by its ¹H-NMR signal at 3.38 (s, β -MeO).

1.22. 5,5-Diethoxy-12-isopropyl-9,15-dimethyl-4-oxatricyclo[$8.5.0.0^{2.6}$]pentadeca-1,6,8,10,12,14-hexaen-3one (46) and 5,5-Diethoxy-12-isopropyl-9,15-dimethyl-4-oxatricyclo[$8.5.0.0^{2.6}$]pentadeca-2(6),7,9,11,13,15-hexaen-3-one (47). Acid 44 (0.5 g, 1.53 mmol) was reacted with EtOH (0.24 ml, 4 mmol) in MeCN (9.5 ml in total) according to the General Procedure. Purification by prep. TLC (Et₂O/hexane 1:1) afforded 0.46 g (82%) of a red to brown oil which proved to be a mixture 46/47 and the 3-ethoxy-3-methoxy-'ortho'-anhydride 45 (cf. Scheme 7) according to MS.

This mixture (51 mg; *ca.* 0.14 mmol) was dissolved in 5 ml of EtOH, and 0.5 ml of a 0.014m soln. of H₂SO₄/EtOH was added. After 18 h at r.t., only **46/47** could be detected by TLC. Workup yielded 39 mg (76%) of the pure diethoxy compounds which were crystallized from a small amount of pentane. M.p. 106–108°. R_f (hexane/Et₂O 4:1) 0.51. UV: identical with that of the corresponding 5,5-dimethoxy compound [13]. IR (KBr): 1770 (5-ring lactone). ¹H-NMR (250 MHz, 30°): 1.095 (d, ³J = 6.8, (CH₃)₂CH); 1.228 (t, ³J \approx 7.2, CH₃CH₂O); 1.257 (t, ³J \approx 7.2, CH₃CH₂O); 1.6–1.9 (br. *s*, Me–C(9), Me–C(15)); 2.489 (*sept.* ³J = 6.8, (CH₃)₂CH); 3.50–3.85 (several *q*-like signals, ³J \approx 7.2, 2 CH₃CH₂O); 5.47–5.63 (br. *s*, H–C(11)); 6.3–6.4 (several signals, H–C(8), H–C(13), H–C(14)); 6.62–6.80 (br. *s*, H–C(7)). ¹H-NMR (360 MHz, -50°): signals of **46** (16%) which are not covered by the signals of **47** (84%): 1.026 (d, ³J = 7.0, (CH₃)₂CH); 1.155 (t, ³J = 7.0, CH₃CH₂O); 2.138, 2,272 (2*s*,

Me-C(9), Me-C(15)); 3.475 (*t*-like signals, ${}^{3}J = 7.3$, CH₃CH₂O); 5.858 (*s*, H-C(11)); 6.225 (br. *s*, H-C(8), H-C(13), H-C(14)); 6.485 (*d*, ${}^{3}J = 6.7$, H-C(7)). Signal of **47** (84%): 1.066, 1.094 (2*d*, ${}^{3}J = 6.7$, (CH₃)₂CH); 1.223, 1.271 (2*t*, ${}^{3}J = 7.1$, 2 CH₃CH₂O); 1.639 (*s*, Me-C(15)); 1.717 (*s*, Me-C(9)); 2.478 (*sept.*, ${}^{3}J = 6.7$, (CH₃)₂CH); 3.566 (*sext.*, ${}^{3}J \approx 7.1$, CH₃CH₂O); 3.730, 3.830 (2 *quint.*-like, ${}^{3}J \approx 7.1$, CH₃CH₂O); 5.468 (*s*, H-C(11)); 6.300 (*d*, ${}^{3}J = 11.4$, H-C(8)); 6.315 (*AB*, ${}^{3}J(AB) = 12.0$, H-C(13), H-C(14)); 6.800 (*d*, ${}^{3}J = 11.4$, H-C(7)). MS: 368 (30, M^{++}); 339 (69, M^{++} - Et⁺), 323 (16, M^{++} - EtO⁻), 311 (20), 294 (29), 269 (100), 267 (36), 251 (19), 241 (24), 221 (35), 207 (30), 191 (26). Anal. calc. for C₂₃H₂₈O₄ (368.47): C 74.97, H 7.66; found: 75.18, H 7.68.

2. Reactions with the 'ortho'-Anhydrides (cf. [13]). - 2.1. Photochemical Formation of (PM)-3,3-Diethoxy-9,11,13,15-tetramethyl-4-oxatricyclo[8.5.0.0^{2.6}]pentadeca-2(6),7,9,11,13,15-hexaen-5-one (49) from 10. 'ortho'-Anhydride 10 (0.10 g, 0.28 mmol) was dissolved in 250 ml of t-BuOMe and irradiated under Ar during 2 h (cf. [13]). t-BuOMe was evaporated and the residue dissolved in a small amount of Et₂O to yield 24 mg of a first crop of crude 49. The mother liquor was separated by prep. TLC (hexane/Et₂O 4:1) to yield, besides 30 mg of 10, a second crop of 52 mg of crude 49. Recrystallization of the crude 49 from Et₂O/hexane yielded 22 mg (22%) of pure 49 in dark yellow crystals. M.p. 140–141°. R_f (hexane/Et₂O 7:3; cf. R_f (10) 0.40) 0.49. UV (cyclohexane): λ_{max} 202 (4.34), 230 (4.16, sh), 269 (4.29), 307 (3.56, sh), 380 (2.64, broad); λ_{min} 247 (4.05), 360 (2.56). IR (KBr): 1766 (5-ring lactone). ¹H-NMR (250 MHz): 1.190 (t, ³J = 7.1, α -CH₃CH₂O); 1.232 (t, ³J = 7.1, β -CH₃CH₂O); 1.755 (s, Me–C(15)); 1.827 (s, Me–C(9)); 1.933 (br. s, Me–C(11), Me–C(13)); 3.488, 3.611 (2dq, ²J = 9.0, ³J = 7.1, α -CH₃CH₂O); 6.021 (br. s, H–C(14)); 6.107 (br. s, H–C(12)); 6.601, 6.631 (2d, ³J = 11.6, H–C(7), H–C(8)). MS: identical with that of 10. Anal. calc. for C₂₂H₂₆O₄ (354.45): C74.55, H 7.39; found: C 74.49. H 7.29.

2.2. Photochemical Formation of (PM,3 RS)- and (PM,3 SR)-3-Methoxy-3- $[{}^{2}H_{3}]$ methoxy-9,11,13,15-tetramethyl-4-oxatricyclo[8.5.0.0^{2,6}] pentadeca-2(6),7,11,13,15-hexaen-5-one ($[{}^{2}H_{3}]$ -51 β and $[{}^{2}H_{3}]$ -51 α , resp.) from the Mixture $[{}^{2}H_{3}]$ -6 β / $[{}^{2}H_{3}$ -6 α]. The mixture (0.070 g, 0.21 mmol; cf. 1.2) was irradiated in 250 ml of t-BuOMe during 2 h. Prep. TLC (hexane/Et₂O 7:3) yielded, besides the mixture $[{}^{2}H_{3}]$ -6 β / $[{}^{2}H_{3}]$ -6 α (0.025 g, 36%), 46 mg (66%) of $[{}^{2}H_{3}]$ -51 β / $[{}^{2}H_{3}]$ -51 α which were recrystallized from Et₂O/hexane. M.p. 113–114° (cf. [13]). ¹H-NMR (250 MHz): identical with that of the DBS isomer of 6 [13] except for 3.294 (s, 2.40 H, MeO-C(3) of $[{}^{2}H_{3}]$ -51 β ; 80%) and 3.566 (s, 0.60 H, MeO-C(3) of $[{}^{2}H_{3}]$ -51 α ; 20%). Reference for integration: Me-C(11) and Me-C(13).

2.3. Photochemical Formation of (PM,3RS,1'SR)-3-Methoxy-9,11,13,15-tetramethyl-3-(1'-phenylethoxy)-4-oxatricyclo[8.5.0.0^{2,6}]pentadeca-2(6),7,9,11,13,15-hexaen-3-one (50) from 18. Sec [13].

2.4. Base-Catalyzed Rearrangement of 6 into 2-Ethyl 1-Methyl 5,6,8,10-Tetramethylheptalene-1,2-dicarboxylate (17). 2.4.1. Transesterification of Dimethyl 5,6,8,10-Tetramethylheptalene-1,2-dicarboxylate into 17. The dimethyl ester (0.10 g, 0.3 mmol) was dissolved in 15 ml of EtOH containing 0.9 mmol of EtONa and stirred during 5 h at 40°. After that time, no starting material could be detected by TLC. Workup including prep. TLC (Et₂O/hexane 1:1) yielded 17 (0.083 g, 80%) as a yellow oil which was crystallized from hexane with a trace of Et₂O. M.p. 104–105°, R_f (Et₂O/hexane 1:1; starting material R_f 0.43) 0.50. IR (KBr): 1706 (COOR). ¹H-NMR (80 MHz): identical with that of the starting material except for 1.25 ($t, {}^{3}J = 7.0, CH_3CH_2$); 3.71 (s, MeOOC); 4.18, 4.20 (2q, ${}^{3}J = 7.0, CH_3CH_2$). MS: 340 (100, M^{++}), 325 (13, $M^{++} - Me^+$), 300 (7, $M^{++} - Me-C\equiv CH$), 242 (26, $M^{++} - MeC\equiv CCOOMe$), 228 (5, $M^{++} - MeC\equiv CCOOEt$), 184 (91, $M^{++} - MeOOC-C\equiv C-COOEt$). Anal. calc. for C₂₁H₂₄O₄ (340.42): C 74.09, H 7.11; found: C 73.83, H 7.13.

2.4.2. Rearrangement of 6. 'ortho'-Anhydride 6 (0.045 g, 0.14 mmol) was dissolved in EtOH (7 ml), and a soln. of Na (*ca.* 5 mg, 0.22 mmol) in EtOH (1 ml) was added. After 20 min at 20°, *ca.* half of 6 had already been reacted to yield 17. After 60 min, the transformation was complete. Workup yielded 17 (0.0295 g, 63%) identical in all aspects (R_{fb} mixed m.p., ¹H-NMR, and MS) with the material described above (2.4.1).

2.5. Acid-Catalyzed Reaction of 6. See [13].

2.6. Thermal Equilibration of (PM,3RS)- and (PM,3SR)-3-Ethoxy-12-isopropyl-3-methoxy-9,15-dimethyl-4oxatricyclo[8.5.0. $^{2.6}$]pentadeca-1,6,8,10,14-hexaen-5-one (27 and 28, resp.). 'ortho'-Anhydride 27 (ca. 7 mg) was dissolved in 1,1,2,2-tetrachloro-[1,2-²H₂]ethane (C₂D₂Cl₄; ca. 0.1 ml filtrated over basic alumina) and heated in an oil bath at 100°. After heating, the probes were diluted with CDCl₃, and the amount of 27 and 28 was determined by ¹H-NMR according to the integral of the signals at 3.154 (s, α -MeO in 27) and at 3.464 (s, β -MeO in 28). Results: 0.5. h 96/4%, 1 h 94/6%, 4 h 69/31% and 24 h 50/50% of 27/28. These data yield for the equilibrium kinetics (k(27) + k(28)) $\approx 5 \cdot 10^{-5}s^{-1}$ or $\tau_{1/2} \approx 230$ min. ¹H-NMR (250 MHz, C₂D₂Cl₄ + CDCl₃) of 28 (extracted from the ¹H-NMR of the 1:1 mixture of 27 and 28): 1.031, 1.049 (2d, ³J = 6.9, (CH₃)₂CH); 1.119 (t, ³J = 7.0, α -CH₃CH₂O); 2.187 (br. s, Me-C(9)); 2.200 (br. s, Me-C(15)); 2.437 (sept., ³J \approx 7, (CH₃)₂CH); 3.316, 3.428 (2dq, ²J = 8.9, ${}^{3}J = 7.0$, α -CH₃CH₂O)¹⁶); 3.464 (*s*, β -MeO); 5.699 (br. *s*, H-C(11)); 6.09–6.21 (several signals, H-C(13), H-C(14)); 6.304 (*dquint.-like*, {}^{3}J(8,7) = 6.6, { $^{4}J(8, \text{CH}_{3}-\text{C}(9)) \approx 1.3$, H-C(8)); 7.143 (*dq-like*, {}^{3}J(7,8) = 6.5, { $^{5}J(7, \text{CH}_{3}-\text{C}(9)) \approx 0.7$, H-C(7)).

2.7. Thermal Equilibration of (PM,3RS)- and (PM,3SR)-3-Benzyloxy-12-isopropyl-3-methoxy-9,15-dimethyl-4-oxatricyclo[8.5.0.0^{2,6}]pentadeca-1,6,8,10,12,14-hexaen-5-one (**29** and **30**, resp.). 'ortho'-Anhydride **29** (ca. 5 mg) was dissolved in C₂D₂Cl₄ (filtrated over basic alumina) and heated in an oil bath at 100°. After heating, the probes were diluted with CDCl₃ and the amount of **29** and **30** determined by ¹H-NMR according to the integral of the signals at 3.220 (s, α -MeO in **29**) and at 3.525 (s, β -MeO in **30**). Results: 0.5 h 99/3%, 1 h 95/5%, 4 h 84/16%, 24 h 52/48% and 30 h 51/49% of **29**/30; *i.e.* (k (**29**) + k (**30**)) $\approx 2.9 \cdot 10^{-5}s^{-1}$ or $\tau_{1/2} \approx 400$ min. ¹H-NMR (250 MHz, C₂D₂Cl₄ + CDCl₃) of **30** (extracted from the ¹H-NMR of the 52:48 mixture of **29** and **30**): 1.021, 1.046 (2d, ³J = 6.6, (CH₃)₂CH); 2.19 (br. s, Me-C(9)); 2.227 (br. s, Me-C(15)); 2.423 (sept., ³J = 6.6, (CH₃)₂CH); 3.525 (s, β -MeO); 4.356, 4.459 (2d, ²J = 11.1, α -PhCH₂O)¹⁷); 5.718 (d-like s, ⁴J(11,13) ≈ 1.1 , H-C(11)); 5.959, 6.080 (2dq, ³J(13, 14) = 6.6, ⁴J(14, CH₃-C(15)) = 1.4, H-C(13), H-C(14)); 6.329 (dq, ³J(8,7) = 6.6, ⁴J(8, CH₃-C(9)) ≈ 1.4 , H-C(8)); 7.190 (dq-like, ³J(7,8) = 6.6, ⁵J(7, CH₃-C(9)) ≈ 0.5 , H-C(7)); 7.2-7.4 (m, α -PhCH₂O).

2.8. Crystal Data of (PM,3 RS,1'SR)-3-Methoxy-9,11,13,15-tetramethyl-3-(1'-phenylethoxy)-4-oxatricyclo-[8.5.0.0²⁶]pentadeca-2(6),7,9,11,13,15-hexaen-3-one (**49**; see [13]). Space group and cell dimensions: monoclinic $P_{2_1/n}$, with a = 6.853(2), b = 22.264(7), c = 14.954(4) Å, $\beta = 101.09(2)^\circ$; D = 1.24 Mg m⁻³, Z = 4. Data collection. Crystal size: $0.21 \times 0.33 \times 0.60$ mm³; temp. 170 K; wavelength: 0.71069 Å; total data measured: 4407 (excluding standards), total data observed: 3197. The structure was determined by direct methods using 32 starting phase permutations. Refinement proceeded smoothly to convergence at R = 0.0389 with anisotropic refinement of all non-H-atoms. Coordinates and thermal parameters have been deposited with the Crystallographic Data Centre, Cambridge, University Chemical Lab., Cambridge CB2 1EW, England.

2.9. Crystal Data of (PM)-6. Space group and cell dimensions: triclinic P1 with a = 8.292, b = 9.192, c = 12.845 Å, $\alpha = 89.27^{\circ}$, $\beta = 72.63^{\circ}$, $\gamma = 69.32^{\circ}$; D = 1.25 Mgm⁻³, Z = 2. Data collection. Crystal size: $0.33 \times 0.33 \times 0.33 \text{ mm}^3$; temp. 170 K; wavelength: 0.71069 Å; total data measured: 3049 (excluding standards), total data observed: 2234. The structure was determined by direct methods using 32 starting phase permutations. Refinement proceeded smoothly to convergence at R = 0.0526 with anisotropic refinement of all non-H-atoms. Coordinates and thermal parameters have been deposited with the Crystallographic Data Centre, Cambridge, University Chemical Lab., Cambridge CB2 1EW, England. The torsion angles related to Scheme 12 are: $O(4)-C(3)-C(2)-C(6) = 18.6^{\circ}$, $C(1)-C(2)-C(3)-O(\alpha) = -39.9^{\circ}$, $C(1)-C(2)-C(3)-O(\beta) = 84.0^{\circ}$, $C(6)-C(2)-C(3)-O(\beta) = -98.6^{\circ}$.

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¹⁶) The corresponding signals of **27** appeared at 3.759 and 3.884 (2 dq, ${}^{2}J = 9.6$, ${}^{3}J = 7.1$, β -CH₃CH₂O; cf. 1.19).

¹⁷) The corresponding signals of **29** appeared at 4.774 and 4.885 (2d, $^{2}J = 11.1$, β -PhCH₂O; cf. 1.20).

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